

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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STEPHEN SULLIVAN, WHITE OAK FUND LP, :  
CALIFORNIA STATE TEACHERS' :  
RETIREMENT SYSTEM, SONTERRA CAPITAL :  
MASTER FUND, LTD., FRONTPOINT :  
PARTNERS TRADING FUND, L.P., AND :  
FRONTPOINT AUSTRALIAN OPPORTUNITIES :  
TRUST on behalf of themselves and all others :  
similarly situated, :

Plaintiffs, :

v. :

UBS AG, UBS SECURITIES JAPAN CO. LTD., :  
MIZUHO BANK, LTD., THE BANK OF TOKYO- :  
MITSUBISHI UFJ, LTD., THE SUMITOMO :  
TRUST AND BANKING CO., LTD., THE :  
NORINCHUKIN BANK, MITSUBISHI UFJ :  
TRUST AND BANKING CORPORATION, :  
SUMITOMO MITSUI BANKING :  
CORPORATION, RESONA BANK, LTD., J.P. :  
MORGAN CHASE & CO., JPMORGAN CHASE :  
BANK, NATIONAL ASSOCIATION, J.P. :  
MORGAN SECURITIES PLC, MIZUHO :  
CORPORATE BANK, LTD., DEUTSCHE BANK :  
AG, DB GROUP SERVICES UK LIMITED, :  
MIZUHO TRUST AND BANKING CO., LTD., :  
THE SHOKO CHUKIN BANK, LTD., SHINKIN :  
CENTRAL BANK, THE BANK OF :  
YOKOHAMA, LTD., SOCIÉTÉ GÉNÉRALE SA, :  
THE ROYAL BANK OF SCOTLAND GROUP :  
PLC, THE ROYAL BANK OF SCOTLAND PLC, :  
RBS SECURITIES JAPAN LIMITED, :  
BARCLAYS BANK PLC, BARCLAYS PLC, :  
BARCLAYS CAPITAL INC., CITIBANK, NA, :  
CITIGROUP, INC., CITIBANK, JAPAN LTD., :  
CITIGROUP GLOBAL MARKETS JAPAN, INC., :  
COÖPERATIEVE CENTRALE RAIFFEISEN- :  
BOERENLEENBANK B.A., HSBC HOLDINGS :  
PLC, HSBC BANK PLC, LLOYDS BANKING :  
GROUP PLC, HBOS PLC, LLOYDS BANK PLC, :  
ICAP PLC, ICAP EUROPE LIMITED, R.P. :  
MARTIN HOLDINGS LIMITED, MARTIN :  
BROKERS (UK) LTD., TULLETT PREBON PLC, :

Docket No. 13-CV-2811 (PKC)

**DEFENDANT ICAP EUROPE  
LIMITED'S RULE 7.1  
DISCLOSURE STATEMENT**

BANK OF AMERICA CORPORATION, BANK :  
OF AMERICA, N.A., MERRILL LYNCH :  
INTERNATIONAL INCORPORATED, AND :  
JOHN DOE NOS. 1-50, :  
: :  
Defendants. :  
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Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned counsel of record for Defendant ICAP Europe Limited hereby states as follows:

ICAP Europe Limited is a limited company. It is a wholly-owned subsidiary of ICAP Global Broking Holdings Limited. No public company owns greater than 10% of ICAP Europe Limited's stock. ICAP Europe Limited makes the preceding disclosure without prejudice to its right to contest personal jurisdiction in the above-captioned action.

Dated: September 30, 2015  
New York, New York

RICHARDS KIBBE & ORBE LLP

By: /s/ Brian S. Fraser

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